

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 5	0 7

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Horneck

Given Name(s)

Joe

Office for which the candidate sought election

Councillor Ward 06

Ward name or no. (if any)

06

Municipality

City of Mississauga

Spending Limit - General

\$ 45,724.35

Spending Limit - Parties and Other Expressions of Appreciation

\$ 4,572.44

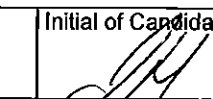
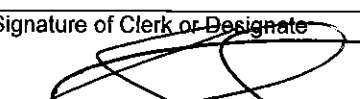
I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Horneck, Joe, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2019/03/22
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2019/03/27	10:05 am		

Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution _____
Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	31,007.83
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	0.00
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	31,007.83 c1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	1,007.83
Advertising	+ \$	2,075.37
Brochures/flyers	+ \$	7,892.61
Signs (including sign deposit)	+ \$	6,453.05
Meetings hosted	+ \$	680.18
Office expenses incurred until voting day	+ \$	152.11
Phone and/or internet expenses incurred until voting day	+ \$	9,804.60
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	2,000.00
Bank charges incurred until voting day	+ \$	259.66
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to general spending limit	= \$	30,325.41 c2

EXPENSES

Expenses subject to spending limit for parties and other expressions of appreciation

1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	0.00 c3

Expenses not subject to spending limits

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	0.00
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	19.20
Interest charged on loan after voting day	+ \$	0.00
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	1,356.00
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
Total Expenses not subject to spending limits	= \$	1,375.20 C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 31,700.61 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	-692.78	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 - D2)	= \$	-692.78	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	0.00	
Surplus (or deficit) for the campaign	= \$	-692.78	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 14,000.00
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ 1,007.83
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 3,650.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 13,100.00
Less: Contributions returned or payable to the contributor <i>→ candidate</i>	- \$ 750.00
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ 0.00
Total Amount of Contributions (record under Income in Box C)	= \$ 31,007.83 1A

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached.			13,100.00	0.00
Total			13,100.00	

Additional information is listed on separate supplementary attachment

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
N/A				N/A
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	N/A

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 13,100.00 1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
N/A		N/A
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
Webhosting during election period	2018-10-23	Hosting cost of Personal	1	116.16
Old Election Signs	2018-05-31	In-Kind Contribution	247	891.67
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total			1,007.83

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity N/A

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	<u>N/A</u>	2A	
Number of tickets sold	X		2B	
Total Part I (2A X 2B) (include in Part 1 of Schedule 1)			=	\$ <u>N/A</u>

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. <u>N/A</u>	+	\$	<u>N/A</u>	
2. _____	+	\$		
3. _____	+	\$		
4. _____	+	\$		
5. _____	+	\$		
Total Part II (include in Part 1 of Schedule 1)			=	\$ <u>N/A</u>

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. <u>N/A</u>	+	\$	<u>N/A</u>	
2. _____	+	\$		
3. _____	+	\$		
4. _____	+	\$		
5. _____	+	\$		
Total Part III (include under Income in Box C)			=	\$ <u>N/A</u>

Part IV – Expenses related to fundraising event or activity

Provide details

1. <u>N/A</u>	+	\$	<u>N/A</u>	
2. _____	+	\$		
3. _____	+	\$		
4. _____	+	\$		
5. _____	+	\$		
6. _____	+	\$		
7. _____	+	\$		
8. _____	+	\$		
Total Part IV Expenses (include under Expenses in Box C)			=	\$ <u>N/A</u>

Auditor's Report

Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

STC Partners LLP CPA, CA

Municipality

Mississauga

Date (yyyy/mm/dd)

2019/03/14

Contact Information

Last Name or Single Name

Myers

Given Name(s)

Stephen L.

Licence Number

1-18827

Address

Suite/Unit No.

204

Street No.

6465

Street Name

Millcreek Drive

Municipality

Mississauga

Province

ON

Postal Code

LSN 5R3

Telephone No. (including area code)

905 821 9215

Email Address

steve.myers@scpllp.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Table 1 - Monetary Contributions from Individuals other than Candidate or Spouse

Candidate: **Horneck, Joe**

Run for Office: **Councillor Ward 06**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
Anastasia Adesina	673 EAGLEMOUNT CRES, Unit Mississauga, ON Canada L5C 1N8	2018-09-17	150.00	
Anh Tuan Nguyen	8 Miramar Street, Unit Brampton, ON Canada L6R 2S9	2018-08-05	150.00	
Anita Phillips	3502 THE CREDIT WOODLANDS, Unit Mississauga, ON Canada L5C 2K6	2018-09-30	200.00	
Arshad Mahmood	1070 STRATHY AVE, Unit Mississauga, ON Canada L5E 2J8	2018-10-05	300.00	
Chris Taves	898 MEADOW WOOD RD, Unit Mississauga, ON Canada L5J 2S6	2018-09-12	250.00	
Derek Nighbor	40 Nepean St., Unit 2603 Ottawa, ON Canada K2P 0X5	2018-08-11	250.00	
Eric Davis	250 Wissler Road, Unit Waterloo, ON Canada N2K 2X4	2018-08-18	200.00	
Fadi Mansour	3645 EMERY DR, Unit Mississauga, ON Canada L5M 7G8	2018-10-04	200.00	
Fahim Kaderlina	6 Wellesley Place, Unit 40 Toronto, ON Canada M4Y 3E1	2018-09-28	250.00	
Gordon McLennan	3626 BURNBRAE DR, Unit Mississauga, ON Canada L5C 2N7	2018-10-18	500.00	
Grant Gorchynski	1272 CATCHACOMA CRT, Unit Mississauga, ON Canada L5H 2X6	2018-08-23	1,200.00	
Greg Kelly	5804 O'MEARA ST, Unit Mississauga, ON Canada L5V 2X3	2018-09-11	150.00	
Irek Kusmierczyk	3288 Arpino, Unit Windsor, ON Canada N8N 0A5	2018-12-03	1,200.00	
Jonathan Glggs	8 HELENE ST S, Unit Mississauga, ON Canada L5G 3A9	2018-10-16	200.00	
Jordan Gray	4013 CHICORY CRT, Unit Mississauga, ON Canada L5C3S8	2018-07-12	500.00	
Judy McConnell	2754 BARNSTONE CRES, Unit Mississauga, ON Canada L5K 2C1	2018-10-01	500.00	
Kulamakan Kulasegaram	194 HARROWSMITH DR, Unit Mississauga, ON Canada L5R 1R7	2018-07-15	200.00	
Marion Horneck	9866 Kenwood, Unit Windsor, ON Canada N8R1H2	2018-06-23	1,000.00	
Mark Osbourne	1296 DEER RUN, Unit Mississauga, ON Canada L5C 3P9	2018-09-23	250.00	
Michael McConnell	2754 BARNSTONE CRES, Unit Mississauga, ON Canada L5K 2C1	2018-10-14	500.00	
Michael Schmidt	79 Allen Street West, Unit Waterloo, ON Canada N2L 1E3	2018-10-18	500.00	
Mike Pereira	2126 GRANGE DR, Unit Mississauga, ON Canada L5B 1P5	2018-10-17	1,200.00	
Mohamed Snobar	3331 CREDIT HEIGHTS DR, Unit Mississauga, ON Canada L5C 2M1	2018-10-14	1,200.00	
Omar Alghabra	25 KINGSBRIDGE GARDEN CIR, Unit 2220 Mississauga, ON Canada L5R 4B1	2018-10-14	1,000.00	
Patricia Ratnakumar	22 Linderwood Drive, Unit Scarborough, ON Canada M1C 3P8	2018-09-10	250.00	
Ridha Chllmeran	55 KINGSBRIDGE GARDEN CIR, Unit 2203 Mississauga, ON Canada L5R 1Y1	2018-10-15	150.00	
Saad Baig	2550 THOMAS ST, Unit 83 Mississauga, ON Canada L5M 5N8	2018-09-12	500.00	
Yasmeen Ismail	234 Rideau Street, Unit 1610 Ottawa, ON Canada K1N 0A9	2018-10-15	150.00	

Table 1 - Monetary Contributions from Individuals other than Candidate or Spouse

Candidate: **Horneck, Joe**

Run for Office: **Councillor Ward 06**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
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Subtotal Records: **28**

Subtotal Amount Received (\$): **13,100.00**

Subtotal Amount Returned or Paid (\$): **0.00**

Independent Auditor's Report

To the Office of the City Clerk, City of Mississauga

Report on the Financial Statements

Qualified Opinion

We have audited the accompanying financial statements of Mr. Joe Horneck, Candidate - Councillor Ward 6, City of Mississauga ("the Candidate"), which comprise the statement of surplus or deficit as the December 31, 2018 and the statement of campaign income and expenses for the 2018 municipal election campaign period then ended.

In our opinion, except for the effects of the matter described in the Basis for Qualified Opinion paragraph, the financial statements present fairly, in all material respects, the financial position of the Candidate as at December 31, 2018, and his financial performance for the 2018 municipal election campaign period then ended in accordance with Section 88 of the *Municipal Elections Act, 1996*.

Basis for Qualified Opinion

In common with many election campaigns, the campaign derived its revenue from cash donations and contributed goods, the completeness of which is not susceptible to satisfactory audit verification. Accordingly, our verification of these revenues was limited to the amounts recorded in the records of the campaign. We were not able to determine whether any adjustments might be necessary to campaign contributions, campaign surplus, or the inventory used from a prior campaign.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the campaign in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Emphasis of Matter

The financial statements are prepared to assist the Candidate in complying with the financial reporting provisions of Section 88 of the *Municipal Elections Act, 1996*. As a result, the financial statements may not be suitable for another purpose. Our report is intended solely for the Candidate and the Office of the City Clerk, City of Mississauga and should not be distributed to or used by parties other than the Candidate or the Office of the City Clerk, City of Mississauga. Our opinion is not modified in respect of this matter.

Responsibilities of the Candidate for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these financial statements in accordance with Section 88 of the *Municipal Elections Act, 1996*, and for such internal control as the councillor determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

As part of an audit in accordance with CASs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

1. Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
2. Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the the Candidate's internal control.
3. Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the councillor.
4. Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with the Candidate regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

S+C Partners LLP

Chartered Professional Accountants
Licensed Public Accountants

Mississauga, Ontario
March 14, 2019