



Financial Statement - Auditor's Report
Candidate - Form 4

Municipal Elections Act, 1996 (Section 88.25)

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2 0 1 8 | 0 7 | 2 6 to 2 0 1 8 | 1 0 | 2 2

- [x] Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
[] Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot
Last Name or Single Name: TRAN
Given Name(s): DUC THANH
Office for which the candidate sought election: CITY COUNCILLOR
Ward name or no. (if any): 4
Municipality: MISSISSAUGA
Spending Limit - General: \$
Spending Limit - Parties and Other Expressions of Appreciation: \$

[] I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, DUC THANH TRAN, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

[Signature]
Signature of Candidate

2019/03/22
Date (yyyy/mm/dd)

Table with 4 columns: Date Filed (2019/03/22), Time Filed (1:19 pm), Initial of Candidate or Agent, Signature of Clerk or Designate

**Box C: Statement of Campaign Income and Expenses**

**LOAN**

Name of bank or recognized lending institution \_\_\_\_\_

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	6,313.15
Revenue from items \$25 or less	+ \$	
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b>6,313.15 c1</b>

**EXPENSES (Note: include the value of contributions of goods and services)**

**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	
Advertising	+ \$	822.96
Brochures/flyers	+ \$	519.25
Signs (including sign deposit)	+ \$	2,859.46
Meetings hosted	+ \$	1,148.28
Office expenses incurred until voting day	+ \$	2,009.71
Phone and/or internet expenses incurred until voting day	+ \$	120.00
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	2,045.00
Bank charges incurred until voting day	+ \$	
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. Donation to Candidate -Peter Pavlovic, Markham Reg Councillor	+ \$	300.00
2. Food, water, supplies, and mics	+ \$	150.00
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b>9,974.66 c2</b>

**EXPENSES**

**Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>c3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or Internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4) = \$ 9,974.66 C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	-3,661.51	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 - D2)</b>	<b>= \$</b>	<b>-3,661.51</b>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
<b>Surplus (or deficit) for the campaign</b>	<b>= \$</b>	<b>-3,661.51</b>	<b>D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.



**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	

**Total for Part II - Contributions exceeding \$100 per contributor**  
 (Add totals from Table 1 and Table 2 and record the total in Part I - Summary of Contributions)      \$ 1,313.15 1B

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Food, water, supplies	2018/08/01	150.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		<b>Total</b>
		<b>150.00</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
(Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$

Additional information is listed on separate supplementary attachment. **Total**

Date Acquired: Supplier

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket revenue**

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$ _____	2A	
Number of tickets sold	X _____	2B	
<b>Total Part I (2A X 2B) (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part II – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	

**Total Part II (include in Part 1 of Schedule 1)** = \$ \_\_\_\_\_

**Part III – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	

**Total Part III (include under income in Box C)** = \$ \_\_\_\_\_

**Part IV – Expenses related to fundraising event or activity**

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	
6. _____	+ \$ _____	
7. _____	+ \$ _____	
8. _____	+ \$ _____	

**Total Part IV Expenses (include under expenses in Box C)** = \$ \_\_\_\_\_

**Auditor's Report**  
**Municipal Elections Act, 1996 (Section 88.25)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality	Date (yyyy/mm/dd)
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**Contact Information**

Last Name or Single Name	Given Name(s)	Licence Number
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Address		
Suite/Unit No.	Street No.	Street Name

Municipality	Province	Postal Code
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Telephone No. (including area code)	Email Address
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



**Duc Thanh Tran, Councillor Candidate, Ward 4, City of Mississauga**  
**Financial Statement, for Period July 26 - Oct 22, 2018**

		amt	date	description	cheq #	
<b>Revenue</b>		2,000.00	15-Aug-18	2235615 ontario inc.	e-transfer	
		3,000.00	21-Sep-18	BR to BR	124	
		1,164.90	21-Sep-18	Mai Banh -donation	strip	
		100.00	28-Sep-18	The Du -donation	e-transfer	
		48.25	22-Oct-18	Peter Nguyen -donation	strip	
		<u>6,313.15</u>				
<b>Expenses</b>	\$	95.99	ad	24-Jul-18	ad, domain name	
per	\$	750.00	oe	15-Aug-18	office exp, rent campaign office	
bank	\$	265.00	bro	27-Aug-18	brochure, forever print	
statments	\$	300.00		27-Aug-18	campaign donation to other candidate	
	\$	500.00	meeting	31-Aug-18	meeting hosted, food	
	\$	359.34	sign	27-Aug-18	sign, campaign works	
	\$	87.80	oe	28-Aug-18	office exp	
	\$	500.00	pro fees	31-Aug-18	pro fees, Daniel Britoti comm	
	\$	254.25	bro	4-Sep-18	brochure, forever print	
	\$	69.42	meeting	4-Sep-18	meeting hosted, food	
	\$	39.27	ad	5-Sep-18	ad, national builder, website	
	\$	750.00	oe	15-Sep-19	office exp, rent campaign office	
	\$	798.72	sign	17-Sep-18	sign, print 1	
	\$	38.48	ad	21-Sep-18	ad, national builder, website	
	\$	300.00	meeting	24-Sep-18	meeting hosted, food	T6268543
	\$	58.58	oe	25-Sep-18	office exp, home depot supplies	7418
	\$	79.04	oe	25-Sep-18	office exp	4995
	\$	184.29	oe	26-Sep-18	office exp, home depot wood supplies	4992
	\$	1,000.00	sign	26-Sep-18	sign, print 1, lawn signs	1995
	\$	23.34	meeting	26-Sep-18	meeting hosted, food	
	\$	21.21	meeting	27-Sep-18	meeting hosted, food	2923
	\$	201.40	sign	28-Sep-18	sign, print 1, lawn signs	6771
	\$	500.00	sign	28-Sep-18	sign, print 1, lawn signs	2545
	\$	565.00	sal	1-Oct-18	salaries	
	\$	980.00	sal	1-Oct-18	salaries	
	\$	26.80	meeting	1-Oct-18	meeting hosted, food	5558
	\$	100.00	oe	9-Oct-18	office exp	
	\$	12.76	meeting	15-Oct-18	meeting hosted, food	652
	\$	610.20	ad	13-Oct-18	ads, thoi moi canada	
	\$	194.75	meeting	22-Oct-18	meeting hosted, foodie, food volunteers	
	\$	39.02	ad	23-Oct-18	ad, national builder, website	

**Duc Thanh Tran, Councillor Candidate, Ward 4, City of Mississauga  
Financial Statement, for Period July 26 - Oct 22, 2018**

**Form 4  
expenses**

advertising	\$	822.96
brochures/flyers		519.25
signs (incl sign deposit)		2,859.46
meeting hosted		1,148.28
office expenses >voting day		2,009.71
phone/internet >voting day		120.00
salaries, benefits, professional fees		2,045.00
bank charges		-
interest charges		-
others		
<i>provide full details</i>		
campaign donation to candidate		300.00
food, water, supplies		150.00
<b>total expenses</b>	<b>\$</b>	<b>9,974.66</b>

end page 2 of 2